

Guidelines Governing Taiwan Cooperative Financial Holding Co., Ltd. and Its Subsidiaries Joining Public Associations and Participating in Lobbying Activities

Implemented on 06/26, 2023 after approval from the president

1. The Guidelines Governing Taiwan Cooperative Financial Holding Co., Ltd. and Its Subsidiaries Joining Public Associations and Participating in Lobbying Activities (hereinafter referred to as “the Guidelines”) were formulated to ensure that the Taiwan Cooperative Financial Holding Co., Ltd. (hereinafter referred to as “the Company”) and its subsidiaries have guidelines to comply with when joining public associations and participating in lobbying activities.
2. The Guidelines are applicable to the Company and its subsidiaries.
3. The Company and its subsidiaries joining public associations or participating in lobbying activities shall comply with the following:
 - (1) The Company and its subsidiaries joining/participating in public associations/lobbying activities shall comply with relevant laws and regulations as well as explain the reasons for joining/participating in the associations/activities. A sign-off or evaluation procedure will subsequently ensue in accordance with the Company or its subsidiaries’ internal regulations, and the Company and its subsidiaries may join/participate in the associations/activities once the request has been submitted to and approved by the president or above.
 - (2) Regarding public policies enforced by public associations/lobbying activities that the Company and its subsidiaries have already joined/participated in, the Company and its subsidiaries shall review them annually to ensure that they do not violate the Company or its subsidiaries’

business policies. If the policies concern climate change issues, the Company and its subsidiaries shall determine whether the policies violate the “Paris Agreement”.

- (3) If the policies described in the preceding paragraph or propositions put forward by the public associations violate the “Paris Agreement” or the business policies of the Company and its subsidiaries, the Company and its subsidiaries shall communicate with the public policy planning units or the public associations involved in six months.
 - (4) Except for when laws and regulations are in place mandating that the Company and its subsidiaries join public associations, they shall reference the communicate results described in the preceding paragraph to determine whether to continue joining the associations (including withdrawal of financial support, suspension or termination of membership, etc.).
4. The Company shall disclose the results of joining the public associations or participating in lobbying activities every year.
 5. Matters not stipulated in the Guidelines shall be handled in accordance with relevant laws and regulations, as well as the Company standards.
 6. The Guidelines shall become effective after being approved by the president. Any amendments to the Guidelines shall follow the same procedure.